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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/319,851	06/11/1999	TOSHIHIKO KITAZAWA	450114-4609	3665

20999 7590 07/07/2004  
FROMMER LAWRENCE & HAUG  
745 FIFTH AVENUE- 10TH FL.  
NEW YORK, NY 10151

EXAMINER  
HO, CHUONG T

ART UNIT PAPER NUMBER

2664

DATE MAILED: 07/07/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

**Advisory Action**

Application No.

09/319,851

Applicant(s)

KITAZAWA ET AL.

Examiner

Chuong Ho

Art Unit

2664

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED 10 June 2004 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. Therefore, further action by the applicant is required to avoid abandonment of this application. A proper reply to a final rejection under 37 CFR 1.113 may only be either: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114.

**PERIOD FOR REPLY** [check either a) or b)]

- a) ☒ The period for reply expires 3 months from the mailing date of the final rejection.
- b) ☐ The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

1. ☐ A Notice of Appeal was filed on \_\_\_\_\_. Appellant's Brief must be filed within the period set forth in 37 CFR 1.192(a), or any extension thereof (37 CFR 1.191(d)), to avoid dismissal of the appeal.
2. ☒ The proposed amendment(s) will not be entered because:
- (a) ☒ they raise new issues that would require further consideration and/or search (see NOTE below);
- (b) ☒ they raise the issue of new matter (see Note below);
- (c) ☐ they are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
- (d) ☐ they present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: See attachment.

3. ☐ Applicant's reply has overcome the following rejection(s): \_\_\_\_\_.
4. ☐ Newly proposed or amended claim(s) \_\_\_\_\_ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).
5. ☐ The a) ☐ affidavit, b) ☐ exhibit, or c) ☐ request for reconsideration has been considered but does NOT place the application in condition for allowance because: \_\_\_\_\_.
6. ☐ The affidavit or exhibit will NOT be considered because it is not directed SOLELY to issues which were newly raised by the Examiner in the final rejection.
7. ☒ For purposes of Appeal, the proposed amendment(s) a) ☒ will not be entered or b) ☐ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.

The status of the claim(s) is (or will be) as follows:

Claim(s) allowed: 11-15.

Claim(s) objected to: \_\_\_\_\_.

Claim(s) rejected: 1-10 and 16-39.

Claim(s) withdrawn from consideration: \_\_\_\_\_.

8. ☐ The drawing correction filed on \_\_\_\_\_ is a) ☐ approved or b) ☐ disapproved by the Examiner.
9. ☐ Note the attached Information Disclosure Statement(s) (PTO-1449) Paper No(s). \_\_\_\_\_.
10. ☐ Other: \_\_\_\_\_

1. The new limitations "whereby conducting control using statistical multiplexing includes computing a target encoding rate for a program based on encoding difficulty per unit time of said program (see claim 1, page 2) request new search, new consideration.
2. The new limitations "whereby conducting control using statistical multiplexing includes computing a target encoding rate for a program based on encoding difficulty per unit time of said program (see claim 6, page 4) request new search, new consideration.
3. The new limitations "whereby conducting control using statistical multiplexing includes computing a target encoding rate for a program based on encoding difficulty per unit time of said program (see claim 16, page 9) request new search, new consideration.
4. The new limitations "whereby conducting control using statistical multiplexing includes computing a target encoding rate for a program based on encoding difficulty per unit time of said program (see claim 21, page 11) request new search, new consideration.
5. The new limitations "whereby conducting control using statistical multiplexing includes computing a target encoding rate for a program based on encoding difficulty per unit time of said program (see claim 26, page 13) request new search, new consideration.
6. The new limitations "whereby conducting control using statistical multiplexing includes computing a target encoding rate for a program based on encoding difficulty

per unit time of said program (see claim 31, page 15) request new search, new consideration.

7. The new limitations "whereby conducting control using statistical multiplexing includes computing a target encoding rate for a program based on encoding difficulty per unit time of said program (see claim 36, page 17) request new search, new consideration.

8. The new limitations "whereby conducting control using statistical multiplexing includes computing a target encoding rate for a program based on encoding difficulty per unit time of said program (see claim 38, page 18) request new search, new consideration.

### ***Conclusion***

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chuong Ho whose telephone number is (703) 306-4529. The examiner can normally be reached on 8:00AM to 4:00PM.

The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

  
WELLINGTON CHIN  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2600

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Chuong Ho  
Examiner  
Art Unit 2664

06/21/04



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